

06/29/00
JC844 U.S. PTO

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PTO/SB/05 (2/98) (modified)
Approved for use through 9/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number **21113-04618**

First Named Inventor **Yuri Smirnov**

Total Pages in this
Submission **56**

Express Mail Label No. **EL550795421US**

PTO
09/608356
JC542 U.S.

06/29/00

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
☐ Check Enclosed
2. ☒ Specification
(preferred arrangement set forth below)
 - ☒ Descriptive Title of the Invention
 - ☒ Cross Reference(s) to Related Case(s)
 - ☒ Statement Regarding Fed sponsored R & D
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawing(s)
 - ☒ Detailed Description
 - ☒ Claim or Claims
 - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary per 35 USC 113)
4. Oath or Declaration
 - a. ☐ New Declaration
☐ Executed
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is
checked). The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment & Assignment Recordation Cover Sheet
7. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
8. ☐ Information Disclosure Statement & PTO-1449
☐ Copies of IDS Citation(s)
9. ☐ Preliminary Amendment
10. Small Entity Statement
☐ New Statement enclosed
☐ Statement filed in prior application. Status still
proper and desired
11. ☒ Return Postcard
12. ☐
13. ☐
14. ☐
15. ☐
16. ☐

ADDRESS TO:

**Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231**

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS

NAME	Neil F. Maloney Fenwick & West LLP				
ADDRESS	2 Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
COUNTRY	U.S.A.	TELEPHONE	(415) 875-2477	FAX	(415) 281-1350
Name (Print/Type)	Neil F. Maloney			Registration No. (Attorney/Agent)	42,833
Signature	<i>Neil F. Maloney</i>			Date	June 29, 2000

0002/PTO(modified)
Rev. 10/95

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)627**

Complete if Known

Application Number	New Application
Filing Date	June 29, 2000
First Named Inventor	Yuri Smirnov
Group Art Unit	New Application
Examiner Name	New Application
Attorney Docket Number	21113-04618

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☐ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number:
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:
[] Check [] Other

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$690	201/\$345	Utility Filing	345
106/\$310	206/\$155	Design Filing	
108/\$690	208/\$345	Reissue	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			(\$)345

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month†	
116/\$380	216/\$190	Extension for response within second month†	
117/\$870	217/\$435	Extension for response within third month†	
118/\$1,360	218/\$680	Extension for response within fourth month†	
128/\$1,850	228/\$925	Extension for response within fifth month†	
119/\$300	219/\$150	Notice of Appeal	
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	
143/\$430	243/\$215	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
123/\$50	123/\$50	Petitions related to provisional applications	
126/\$240	126/\$240	Submission of Information Disclosure Statement	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$)0

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL	34	minus*	20 or	=	14	x	9	=	126
INDEP	7	minus*	3 or	=	4	x	39	=	156
[] First presentation of multiple dependent claim									0

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$)282**

SUBMITTED BY

Typed or Printed Name **Neil F. Maloney**

Signature

Neil F. Maloney

Complete (if applicable)

Reg. Number **42,833**

Date

June 29, 2000